

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re the application of: Finch et al.

Serial No.: 10/092,382

Filed: March 5, 2002

FLUID CONNECTORS FOR  
HEAT EXCHANGERS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

5/3/02  
Date

Krisse Simoni

Name

Signature

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

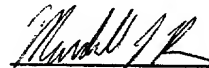
Transmitted herewith for completing the filing of the above-captioned patent application is:

1. One set of formal drawings (19 sheets);
2. Check in the amount of \$1320;
3. Return copy of the Notice to File Missing Parts of Non-provisional Application; and
4. Return postcard acknowledging receipt of the above items.

A check in the amount of \$1320 is enclosed to cover the surcharge for a large entity to file a Response to Notice of Missing Parts. The Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 06-1450 (duplicate of correspondence enclosed).

Respectfully submitted,

Date: May 3, 2002

  
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Registration No. 44,566

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Chicago, IL 60611  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/092,382	03/05/2002	Derek I. Finch	058937/0131

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COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 8455

## FORMALITIES LETTER



\*OC000000007803907\*

Date Mailed: 04/05/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$450.
  - \$198 for 11 total claims over 20.
  - \$252 for 3 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1320.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/15/2002 AWONDAF1 00000066 10092382

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	198.00 OP
04 FC:102	252.00 OP

A copy of this notice **MUST** be returned with the reply.

Roberta Lucio

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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